

Memorandum

Date: May 10, 2010

To: Office of Inspections

From: **DEPARTMENT OF CALIFORNIA HIGHWAY PATROL**
Border Division

File No.: 601.9857.16472

Subject: EL CAJON AREA'S RESPONSE TO OFFICER SAFETY INSPECTION

Attached is El Cajon Area's Exceptions response to the Officer Safety Inspection recently conducted by Departmental personnel.

The Area commander has closely reviewed the findings and recommendations contained within the final report and concurs with the evaluator's findings.

I concur with the commander's actions in this matter and am satisfied with the report's findings.



G. A. DOMINGUEZ, Chief

Attachments

cc: El Cajon Area



Safety, Service, and Security

STATE OF CALIFORNIA
DEPARTMENT OF CALIFORNIA HIGHWAY PATROL
COMMAND INSPECTION PROGRAM
EXCEPTIONS DOCUMENT

Command: 680	Division: 601	Chapter: 17
Inspected by: Officer F. Lamb		Date: 11/18/2009

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INSTRUCTIONS: This document shall be typed. Check appropriate boxes as necessary, or fill in the blanks as indicated. Enter the chapter number of the inspection in the Chapter Inspection number. Under "Forward to:" enter the next level of command where the document shall be routed to and its due date. This document shall be utilized to document innovative practices, suggestions for statewide improvement, identified deficiencies, corrective action plans. A CHP 51 Memorandum may be used if additional space is required.

TYPE OF INSPECTION <input checked="" type="checkbox"/> Division Level <input type="checkbox"/> Command Level <input type="checkbox"/> Executive Office Level		Total hours expended on the inspection: 5	<input type="checkbox"/> Corrective Action Plan Included <input checked="" type="checkbox"/> Attachments Included Chapter 17 Inspection Report
Follow-up Required: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Forward to: Due Date:		
Chapter Inspection:			
Inspector's Comments Regarding Innovative Practices:			

None

Command Suggestions for Statewide Improvement:

None

Inspector's Findings:

A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Thirty-five (35) CHP 100 forms were reviewed; only two had comments regarding officer safety tactics observed in the field. However, a majority of the remaining CHP 100 forms did include documentation of officer/occupational "safety tips." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during nine (Jan. – Sep.) months scheduled in 2009. The Area was forced to cancel the scheduled range dates during the month of October due to illness (H1N1). Officer T. Wozniak is designated as the primary "Weapons Officer" and regularly schedules two range dates per month. The average monthly pistol shoots attended during 2009 by Area personnel is approximately six (5.5) during the past ten months. The average monthly pistol shoots credited per employee is approximately seven (7.1) which equal an average of approximately two (1.6) make-up shoots per employee. This low average is a cumulative calculation of the Area personnel, including the resident post, and does not account for management, administrative assignment, limited duty, 4800 time, or military leave.

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Command: 680	Division: 601	Chapter: 17
Inspected by: Officer F. Lamb		Date: 11/18/2009

Commander's Response: ☒ Concur or ☐ Do Not Concur (Do Not Concur shall document basis for response)

Inspector's Comments: Shall address non concurrence by commander (e.g., findings revised, findings unchanged, etc.)

Required Action

Corrective Action Plan/Timeline

<input type="checkbox"/> Employee would like to discuss this report with the reviewer. (See HPM 9.1, Chapter 8 for appeal procedures.)	COMMANDER'S SIGNATURE 	DATE 4/27/10
	INSPECTOR'S SIGNATURE 	DATE 11/20/09
<input type="checkbox"/> Reviewer discussed this report with employee <input type="checkbox"/> Concur <input type="checkbox"/> Do not concur	REVIEWER'S SIGNATURE 	DATE 5/11/10

AREA MANAGEMENT EVALUATION

OFFICER SAFETY

CHP 453S (Rev. 6-06) OPI 009

AREA <i>660 EL CAJON</i>	DIVISION <i>601 BORDER</i>	NUMBER
EVALUATED BY <i>F. P. LAMB #12795</i>		DATE <i>11-18-09</i>

INSTRUCTIONS: Indicate items reviewed by placing a check in the "Evaluated" box and/or the "Action Required" box. If this form is used as a Correction Report, the "Correction" box should be initialed and dated as deficiencies are corrected. Answer individual items with "yes" or "no" answers, or fill in the blanks as indicated. If additional comments are necessary, the information can be placed on the CHP 454, Area Management Evaluation Supplement. The Supplement should include significant findings, accomplishments or corrective actions, unresolved items, problems or progress, and the evaluator's overall impressions. This form can be completed in pen or pencil, and the Supplement can be handwritten if desired.

TYPE OF EVALUATION <input checked="" type="checkbox"/> Formal Evaluation <input type="checkbox"/> Informal Evaluation		SUSPENSE DATE	
FOLLOW-UP REQUIRED <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		COMMANDER'S REVIEW	
<input type="checkbox"/> Correction Report BY _____		DATE	

1. COMMAND INVOLVEMENT

EVALUATED <i>11-18-09</i>	ACTION REQUIRED <i>YES</i>	CORRECTED
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- a. Does the command emphasize importance of proper enforcement tactics to achieve the lowest possible incidence of injuries incurred by officers?

☒ Yes ☐ No

- (1) Does the commander stress importance of proper enforcement tactics, including use of force?

☒ Yes ☐ No

- (2) Does the safety record of the command reflect an awareness of proper tactics?

☒ Yes ☐ No

- (3) Do the officers' CHP 100 and CHP 118s, Performance Appraisals, contain comments on officer safety?

☐ Yes ☒ No

35 REVIEWED (CHP 100) & CONTAIN COMMENTS

- b. Are the commander and lieutenants knowledgeable of enforcement tactics, physical methods of arrest, proper use of force, and the correct use of safety equipment?

☒ Yes ☐ No

- (1) Is this knowledge applied properly in critiques of incidents involving officers and sergeants?

☒ Yes ☐ No

- (2) Do the captain and lieutenants maintain a minimum level of enforcement skills?

☒ Yes ☐ No

- (a) Do they attend officer safety training sessions?

☒ Yes ☐ No

WHEN SCHEDULED PERIODS

- (b) If they are not involved in officer safety, what are the reasons?

N/A

2. TRAINING AND CERTIFICATION

EVALUATED <i>11-18-09</i>	ACTION REQUIRED <i>NO</i>	CORRECTED
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- a. Do training records indicate formal training has been received and certified?

☒ Yes ☐ No

- (1) Do records reflect annual certification of traffic officers and sergeants for proficiency in enforcement tactics, physical methods of arrest, and the proper use of safety equipment (use of force)? Have certifications been recorded for:

PER ANNIVERSARY DATES SCHEDULED MONTHLY

- (a) Searching techniques.

☒ Yes ☐ No

- (b) Handcuffing.

☒ Yes ☐ No

- (c) Use of safety equipment.

☒ Yes ☐ No

- (d) Suspect control.

☒ Yes ☐ No

- (e) High risk and felony stops.

☒ Yes ☐ No

- (f) Hostage control.

☒ Yes ☐ No

- (g) Prisoner transportation.

☒ Yes ☐ No

- (h) Radio control head operation.

☒ Yes ☐ No

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(2) Is the command dedicating enough time toward training?	<i>OST - MIN 12 HRS.</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do training records reflect certifications for officers and sergeants are current?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is there an established follow-up procedure to assure timely recertification of all officers and sergeants?	<i>RE-SCHEDULE</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do Area supervisors review CHP 121s, CHP 121As, pursuit investigations, personnel complaints, and employ general observations to determine if proper enforcement tactics are being used in the Area?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are well-handled incidents recorded for future training purposes?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are use of force situations closely reviewed to ascertain if all uniformed personnel understand when, and what level of force, is justified?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does an examination of CHP 100, CHP 118s, and citizen complaints indicate a thorough review is being made?	<i>PAR SUPERVISORS</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Do Area supervisors notify those officers who are not proficient and ensure refresher training is made available?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is refresher training required prior to certification?	<i>SEPARATE FROM CERTIFICATION</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are the number of training hours necessary to accomplish certification indicated on the CHP 270?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is any pattern of training weakness apparent?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(b) Have necessary remedial steps been taken to assure thorough and continuous proficiency in all categories?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Does the command have an adequate number of instructors?	<i>WOZNIAK, MATEO, WIRTH, CARTER BUDWICK, MILLER</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is instructor proficiency maintained?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has an individual been given responsibility for the program?	<i>Sgt. CROFTON / Sgt. BUDWICK OFC. WOZNIAK</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Does that individual ensure the quality and level of proficiency is maintained?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are there adequate and properly maintained facilities and equipment available for officer safety training?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) What is the quality and quantity of the training being given?	<i>QUALITY IS EXCELLENT. PROVIDE VERY GOOD TRAINING. QUANTITY IS ADEQUATE, HAS BEEN AFFECTED DUE TO 3/12 SHIFTS, MAINTAIN A MINIMUM 12 HR. TRAINING.</i>		
(5) Have the supervisor and his/her alternate received proper training?	<i>Sgt. CROFTON IS NOT OST TRAINED</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

3. SAFETY EQUIPMENT

EVALUATED	ACTION REQUIRED	CORRECTED
<i>11-18-09</i>	<i>NO</i>	

a. Is Oleoresin Capsicum (OC) spray (pepper spray) carried by all uniformed personnel, captain and below, while on duty, in uniform?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is OC spray used when the need is indicated? Are notations made on booking sheets when OC spray is utilized to subdue a subject?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When an officer is assaulted and an injury occurs, are the supervisors noting the use/nonuse of OC spray on the CHP 121?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are individuals who are exposed to OC spray decontaminated by flushing the affected area with clear water within 30 minutes?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

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(a) Do Area patrol cars carry at least two 500 mil. bottles of saline solution?	(1000)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Are officers/sergeants familiar with the decontamination and first-aid procedure?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are officers/sergeants familiar with the function of their duty holsters?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers/sergeants draw and fire their weapon, re-holster and without looking at the holster, fasten the safety strap with one hand?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Can officers and sergeants draw and fire their weapons within one and a half seconds, using one hand?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is there personal confirmation by the testing officer that all weapons are unloaded prior to holster-related exercises?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Are officers/sergeants proficient in reloading their weapons?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Do officers/sergeants routinely practice with their batons?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers/sergeants carry their batons on all enforcement stops?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Can officers/sergeants successfully demonstrate approved baton techniques?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
e. Do all uniformed personnel wear body armor?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were required reports submitted to Supply Services Unit, per policy, for any incidents where body armor was struck by a bullet or other penetrating type instrument?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(a) If so, did the involved officer receive a complete physical examination?	N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
f. Are holsters, ammunition, magazines, magazine pouches, handcuffs, handcuff case, and OC spray projectors inspected in conjunction with the annual performance appraisal?	ANNUALLY - NOV	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do CHP 311 forms indicate compliance?	WEAPON - ON ANNIVERSARY	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Were deficiencies corrected within 30 days of the inspection?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
4. FIREARMS	EVALUATED 11-18-09	ACTION REQUIRED YES	CORRECTED
a. Has the requirement for quarterly review of policy regulating discharge of firearms been compiled with?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers thoroughly understand the policy?	QTRLY REVIEW @ TRAINING	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Do incidents involving firearms show proper understanding of the policy?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Are shoots conducted as required by policy?	CANCELED OCT. 4 'N' LOW AVERAGE	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Have steps been taken to correct training deficiencies?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are weapons training and maintenance records readily available? Current?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do training records show qualification with all authorized weapons, day/night shoots, etc.?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Does the Area have a range officer?	WORMER, HRUZA, GRIFFIN, RABELO	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the officer completed Academy training for range officers?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Does the officer supervise all shoots?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is the officer well-organized in his/her training?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Is there a designated alternate to the range officer?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Has that officer received Academy training?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

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d. Are range facilities adequate for pistol, rifle, shotgun and night shoots?	<i>PROTECT 2000 RANGE</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) If not, has alternate training been established and plans developed to obtain adequate facilities?	<i>N/A</i>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(a) Do plans follow instructions for range contract renegotiations?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Have future range needs been considered?	<i>DUEYTONA IF NEEDED</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
e. Is an effective and efficient inventory process for shotguns, rifles, and ammunition in place?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Have shotguns been inventoried as required?	<i>UNIT ASSIGNED</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are all shotguns accounted for?	<i>2 DUTY 4 AL 70</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Are shotguns fired annually to ensure operable condition?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have tactical rifles been inventoried as required?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Are all tactical rifles accounted for?	<i>49</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is maintenance/cleaning done as required?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is there adequate storage when the weapons are not being carried by on-duty officers?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Is there an effective method for assignment and control?	<i>UNIT ASSIGNED</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Is there a procedure in place to periodically audit ammunition? Are the following steps in the audit process taken?	<i>PER RECEIPT OF REQUISITION / QUARTERLY</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Beginning inventory determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Has the total amount of ammunition ordered by requisition as well as returned (unused) ammunition been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Has the total rounds issued per ammunition records been determined?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Has a physical inventory of ammunition been taken?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(e) Has the physical count been compared to the balance on hand according to the inventory record?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(f) Have rounds issued per training records been compared to rounds fired per shooting rosters?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(g) Has the mathematical accuracy of the inventory records been tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(h) When ammunition orders are received from Supply Services Unit, is the merchandise inspected, quantities checked against the packing/shipping documents, exceptions noted, and receipt acknowledged immediately upon delivery?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
f. Is policy adhered to requiring firearms not to be drawn, loaded, or unloaded except in the clearing tube?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Does location of the clearing tube(s) provide safety to personnel in or about the office in the event of an accidental discharge?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
g. Are weapons training records maintained as required per policy? Has record reliability been determined by testing the accuracy of the following recorded information?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do the dates recorded on the various records correspond to the actual date training was conducted?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Do training dates correspond to the activity information on the employee's CHP 415?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

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(3) Do training dates closely correspond to the dates ammunition was issued for training (per inventory records)?	416/269 CORRESPOND	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Was ammunition issued for training (per inventory records) compared with the actual amount expended (per the shooting roster)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Once done, was the disposition of any unused ammunition verified for those training days tested?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Are records kept updated as training takes place?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Is training recorded on the employee's CHP 270 and in ETRS?	HARD COPY 270	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Is required information recorded in accordance with established guidelines and instructions?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Is a roster maintained for each shoot which includes all pertinent information (type of shoot, scores, date, etc.)?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
h. Is there a procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory?	A.O.-S. GUFFIN RO. WORKING	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a similar procedure in place which ensures the person recording weapons training information is not involved with handling and recording ammunition?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Is access to the ammunition storage and inventory records limited to the ammunition officer and supervisor or backup employee?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
i. If Area has a resident post (RP), what procedures are used to ensure weapons training of RP officers?	R.O. OFFICER, D. STOWERS		
RECEIVES AMMUNITION FROM AREA			
(1) If RP handles ammunition, are proper accountability procedures in place?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
j. Are required inspections conducted in conjunction with the annual CHP 118?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Is a second inspection of the primary firearm conducted every six months?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
5. PHYSICAL METHODS OF ARREST	EVALUATED 11-18-09	ACTION REQUIRED NO	CORRECTED
a. Do officers practice weaponless defense?	OST TRAINING DAYS	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Are officers familiar with the opponent's five weakest points?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Have officers with previous assault injuries thoroughly familiarized themselves with weaponless defense?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Were demonstrations of the following control techniques by officers observed:	DURING SCHEDULED TRNG. DAYS		
(1) Control holds.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Punches.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Strikes.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Blocks.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Defensive kicks.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(6) Defenses against grabs.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(7) Defenses against weapons.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(8) Ground defense and takedowns.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(9) Placing and removing suspects into and from vehicles.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

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c. Were observations of practical handcuffing techniques made? <i>OFF. J. McNAULLEN 16366</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Can officers successfully apply handcuffs to a suspect who is standing, kneeling, prone, or uncooperative? <i>OFF. B. DAVIS 15061</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are all uniformed personnel knowledgeable of departmental policy on handcuffing?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
d. Are all persons subjected to physical arrest searched for offensive weapons?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Has the local jail's experience with CHP arrests been reviewed?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Has a practical demonstration of preliminary frisks and thorough searches been observed?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Do all officers know guidelines pertaining to searches of the opposite sex as outlined in policy?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
6. ENFORCEMENT TACTICS		EVALUATED <i>11-18-09</i>	ACTION REQUIRED <i>NO</i>	CORRECTED	
a. Do sergeants and officers have knowledge of proper procedures which should be followed during each of the five options of an enforcement stop? <i>CONDUCTED DURING OST TRAINING</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Do officers have a constant awareness of their personal safety during enforcement stops and when apprehending suspected or known criminals?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Were demonstrations of an enforcement stop observed which show the officers' ability to safely control the situation at all times regardless of the level of hazard presented?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Is the violator stop effectively made?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(b) Is the violator completely controlled?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Is the prisoner properly prepared for transportation?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
c. Is there evidence of pre-planning and coordination with allied agencies to prepare beat officers for hostage situations?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Do officers understand their role is limited to containment of the incident until relieved by the authority having jurisdiction?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) Are officers aware of the need to maintain fire discipline at all times?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Are officers knowledgeable of their responsibility to detain potential witnesses, control ingress and egress to the scene, evacuate the area if required, and render necessary medical aid?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(4) Were various officers and supervisors questioned to determine their knowledge of the CHP role in hostage incidents?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
7. PURSUITS		EVALUATED <i>11-18-09</i>	ACTION REQUIRED <i>NO</i>	CORRECTED	
a. Are all uniformed personnel well-versed in policy regarding the conduct of pursuits?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(1) Number of units?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(2) When to discontinue?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(3) Were pursuit critiques checked to determine if the pursuits comply with enforcement guidelines listed in policy? <i>LT. McNAUL PRS</i>				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(a) Where noncompliance is indicated, were corrective actions taken?				<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b. Does the Area have written guidelines or plans to ensure proper coordination with allied agencies during pursuits? <i>MOU'S MAINTAIN FIVE CO. CHIEF INVESTIGATOR</i>				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

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(1) Are any written agreements on file? <i>Continuous Pursuit Policy</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
(2) Is Division involved in the planning process?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Does the Area have and use a pursuit training guide tailored to the specific needs of the command? <i>S.O.P.</i>	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

8. FORCIBLE STOPS	EVALUATED	ACTION REQUIRED	CORRECTED
	<i>11-18-09</i>	<i>NO</i>	
a. Are Area personnel knowledgeable regarding the policy on forcible stops?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Does the Area follow departmental policy? <i>S.O.P.</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have forcible stop reports been reviewed for compliance with policy?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(a) If forcible stop policy has not been complied with, has corrective action been taken or training conducted?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

9. ROADBLOCKS	EVALUATED	ACTION REQUIRED	CORRECTED
	<i>11-18-09</i>	<i>NO</i>	
a. Has the Area worked with allied agencies to develop plans for establishing roadblocks and deployment of the hollow spike strip?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(1) Are strategic points and personnel assignments outlined? <i>LOCATIONS S.O.P. - RECOMMENDED</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(2) Have the officers received instructions on the proper methods of establishing roadblocks?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(3) Have interagency training sessions been conducted? <i>S.D.S.O.</i>			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

10. RADIO FAMILIARIZATION	EVALUATED	ACTION REQUIRED	CORRECTED
	<i>11-18-09</i>		
a. Are officers familiar with all aspects of the radio control head?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
b. Can officers demonstrate how to change the radio from their home Area to another Area/Division?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
c. Can officers efficiently operate all emergency equipment from the radio head?			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

*First Responders
Emergency Team*

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ITEM

1 COMMAND INVOLVEMENT

- 1A The Commander, Captain T. Sumprer, is committed to the importance of officer safety, the emphasis of proper enforcement tactics, monthly/quarterly officer safety training, and the personnel's awareness of occupational safety. Captain T. Sumprer makes every effort to attend scheduled officer safety training days and a quarterly range shoot when her schedule permits. In addition, the Captain attends all scheduled Area quarterly training days.

2 TRAINING AND CERTIFICATION

- 2A The Training Officer (S. Griffin) maintains an anniversary roster of all uniformed personnel. Officer S. Griffin schedules all uniformed personnel to attend the annual CHP 199 certification training on, or about, the personnel's anniversary month. Area OST/PMA Training includes the annual certification when all uniformed personnel are certified and documentation completed on the CHP 199 (OFFICER SAFETY CERTIFICATION) form. As of this date, the Chapter 17 Inspection, all active duty uniformed personnel have attended scheduled OST/PMA training days in preparation for remaining 2009 certification dates. In addition, Officers T. Wozniak, M. Milan, T. Wirth, A. Carter, J. Barraza, M. Miller and Sgt. D. Budwine have completed the OST (2-week) Instructor Course and obtained certification by Academy South. The S.R.O.V.T. calendar is reviewed at each shift briefing.
- 2B A random sampling of CHP 100 forms were reviewed and revealed that comments regarding officer safety tactics observed in the field were not specifically noted. Thirty-five (35) CHP 100 forms were reviewed; only two had comments regarding officer safety tactics observed in the field. However, a majority of the remaining CHP 100 forms did include documentation of officer/occupational "safety tips." Please note that officer safety comments based upon specific supervisory observation are not to be confused with the documentation of "safety tips."

Action:

Supervisors should note observations of officers in the field as they relate to OST /PMA tactics. Those observations should then be recorded on the CHP 100 and included as part of the officer's annual evaluation.

- 2C Training records indicated that all personnel are proficient and did not require remedial training.

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2D The Area currently has seven Academy certified OST/PMA instructors. The instructors are Sergeant D. Budwine, Officers T. Wozniak, M. Mateo, T. Wirth, A. Carter, J. Barraza, and M. Miller. Sergeant M. Crofton is responsible for the OST/PMA program and is designated the Area training supervisor. The number of instructors is less than the recommended (1:10) instructor to student ratio. The Area is in the process of selecting additional personnel to attend the OST Instructor Training Course (2-week) to become certified in the near future allowing the Area to meet the recommended ratio. In addition, the Area's equipment is adequate.

3 **SAFETY EQUIPMENT**

3A All officers are certified in the OC/pepper spray. ~~Officers J. McNamara and B. [REDACTED]~~ were questioned regarding the use of the OC spray, decontamination and limitations. The officers were well versed in these procedures. Two patrol cars were checked at random which did contain saline bottles (1000 ml) in the trunk box.

3B-D The officers were asked to demonstrate their proficiency in PMA and OST. The officers were very familiar with the specific PMA techniques and showed very good proficiency with their holsters and loading devices. The officers were aware of the policy and the proper utilization of the PR-24 and ASP baton. Approximately 95% of the Area is ASP certified. In addition, the officer's equipment was in good condition.

3E The officers stated that they wear their protective vests while on duty. All officers were observed wearing their protective vests. In addition, Officer S. Griffin is in compliance with HPM 73.5, Chapter 4-2 (g) Replacement, which states "*soft body armor shall be replaced within five years from the date of manufacture.*"

3F Area maintains all CHP 311 forms which do indicate compliance, correction, and inspection schedules current. Officers S. Griffin, T. Wozniak, R. Rabello, D. Stowers, and S. Hruza are responsible for weapons inspections and Academy qualified.

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4 **FIREARMS**

4A Quarterly reviews of the Departmental shooting policy are conducted during Area training days. Area utilizes video tapes, scenarios, and the S.R.O.V.T. calendar in addition to reading the policy. Officers J. McNamara and B. Davis were questioned on their knowledge of policy and its applications. The officers showed a very good understanding of the shooting policy.

4B A review of the OTS (ETRS) "Monthly Pistol Shoots" report indicated that the Area has conducted required shoots during nine (Jan. – Sep.) months scheduled in 2009. The Area was forced to cancel the scheduled range dates during the month of October due to illness effecting the range officer staff. Officer T. Wozniak is designated as the primary "*Weapons Officer*" and regularly schedules two range dates per month. The average monthly pistol shoots attended during 2009 by Area personnel is approximately six (5.5) during the past ten months. The average monthly pistol shoots credited per employee is approximately seven (7.1) which equal an average of approximately two (1.6) make-up shoots per employee. This low average is a cumulative calculation of the Area personnel, including the resident post, and does not account for management, administrative assignment, limited duty, 4800 time, or military leave.

Action:

HPM 70.8, Chapter 3, (1) (a) Required Shoots states that employees shall fire 12 primary firearm shoots each year (one each month). In the case of exceptional hardship, commanders may further limit the number of required shoots with the concurrence of the Division commander.

4C Officers T. Wozniak, S. Hruza, and D. Stowers (Resident Post) coordinate the Academy qualified weapons training program. Officers R. Rabello and S. Griffin are designated the alternates to the weapons training officers.

4D The range facility, Project 2000 Range, is the contracted range for the El Cajon Area office. This range meets the needs to facilitate all required shoots as described in policy.

4E The Area's ammunition is kept in three locked lockers; the lockers are located in the Training Coordinators Office. The lockers can only be accessed by the Area designated "*Ammunition Officers*" Officer S. Griffin and Sergeant M. Crofton with an issued key. A review of the CHP 416 forms showed that all ammunition was accounted for and inventory current and accurate to date.

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No ammunition inventory discrepancies were noted. The quantity of .40 caliber ammunition is currently within policy.

- 4F The clearing tube is located at the rear entrance to the Area office. Officers were observed utilizing the clearing tube to empty and charge their weapons.
- 4G The weapons training records were checked and no discrepancies were noted. Officer S. Griffin maintains a very organized training records system with the utilization of the OTS and back-up hard copies of all training received by personnel.
- 4H The Area procedure in place which ensures the person processing the ammunition requisition is not involved with the receiving and recording of ammunition inventory is adequate. The records were checked and no discrepancies or inaccuracies were noted. Officer S. Griffin has been responsible for the recording of ammunition inventory during the requisition and returns of all scheduled range training ammunition. In addition, Sergeant M. Crofton has conducted quarterly Area audits noted on the form CHP 269. The El Cajon Area is in compliance with policy which includes Government Code Section 13403.

5 PHYSICAL METHODS OF ARREST

- 5A The officers were aware of the five most vulnerable areas of an attacker. This knowledge indicated they would be able to defend themselves by attacking one of these critical areas.
- 5B-D Officers J. McNamara and B. Davis were asked at random to demonstrate various arrest, control, handcuffing, and searching techniques. The officers were able to accurately demonstrate and perform the techniques and searches. The officers were able to accurately answer all questions in regard to policy as it related to the search of female prisoners and handcuffing. The officers were well versed in the current policy of the carotid technique regarding certification and the fact that it is not to be utilized.

6 ENFORCEMENT TACTICS

- 6A The officers were questioned about the force continuum and given scenarios to provide an application of policy. Both officers showed a good working knowledge of the force continuum.

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7 PURSUIT

- 7A The officers were given scenarios dealing with pursuit and forcible stops policy. They demonstrated as excellent, practical working knowledge and application of departmental policy. The Area conducts training in forcible stops and pursuit policy on a quarterly basis utilizing video tapes, S.R.O.V.T. topics, and policy review.

CONCLUSION

The Chapter 17 Inspection was conducted by Officer F. Lamb on November 18, 2009. Lieutenant J. Nellis and Officer S. Griffin were present during the post inspection discussion and review of the ten categories listed on the form CHP 453S AREA MANAGEMENT EVALUATION Chapter 17 OFFICER SAFETY.